

File reference	W17FOI284
Key words	Data Breaches for Past 3 Years
Date of release	21/11/2017
Attachments	Yes

Freedom of Information Act Disclosure log - Reply Extract

You asked

Please could you provide me with the number of data breaches recorded by your trusts for each of the past three years? Please give a breakdown for each year.

Could you please provide a spreadsheet giving details of each incident?

For example, please provide information on the type of data breach (e.g. communications being sent to the wrong person, breaches of confidentiality, loss or theft of data and unauthorised access to data) and specific details of what happened.

Our response

All information governance serious incidents requiring investigation (IG SIRI) that occur within the Trust are reported onto Datix (our internal incident reporting tool) and are scored for severity based upon the '*Checklist Guidance for Reporting, Managing and Investigating Information Governance and Cyber Security Serious Incidents Requiring Investigation*' provided by NHS Digital.

Those that attain a Level 2 score have reached the threshold for reporting to the Information Commissioner's Office (ICO), the Department of Health and other central bodies/regulators. These incidents are reported via the Information Governance Toolkit.

Please see attached a list of IG SIRIs that have been scored as a Level 2.

Attachments included: Yes

April 2014 – March 2015

Plymouth Hospitals NHS Trust IG breach type	Level 2 Incidents	Total
Lost/Found Paperwork		0
Lost/Stolen/found unencrypted Device		0
Lost/Stolen/found encrypted Device		0
Inappropriate Disclosure (error)	Correspondence disclosed in error to wrong recipient via email, post or by hand	6*
Non Secure Electronic/Paper Disposal		0
Unauthorised Access/Disclosure (Knowingly)		0
Technical Security Failing		0
Inappropriate Storage of Paperwork		0
Failure to maintain Information Standards (Electronic and Paperwork)		0
Non Secure Transfer (Electronic and Paperwork)		0
Not Processed Fairly or for the Specified Purpose		0

*Please note that one incident was subsequently down-graded.

April 2015 – March 2016

Plymouth Hospitals NHS Trust IG breach type	Level 2 Incidents	Total
Lost/Found Paperwork		0
Lost/Stolen/found unencrypted Device		0
Lost/Stolen/found encrypted Device		0
Inappropriate Disclosure (error)	<ul style="list-style-type: none"> • Patient Identifiable Information found in a charity donation bin. • Patient waiting list emailed to staff members' home email addresses. 	2
Non Secure Electronic/Paper Disposal		0
Unauthorised Access/Disclosure (Knowingly)		0
Technical Security Failing		0
Inappropriate Storage of Paperwork		0
Failure to maintain Information Standards (Electronic and Paperwork)		0
Non Secure Transfer (Electronic and Paperwork)		0
Not Processed Fairly or for the Specified Purpose		0

April 2016 – March 2017

Plymouth Hospitals NHS Trust IG breach type	Level 2 Incidents	Total
Lost/Found Paperwork		0
Lost/Stolen/found unencrypted Device		0
Lost/Stolen/found encrypted Device		0
Inappropriate Disclosure (error)	<ul style="list-style-type: none"> • Identification was returned to the incorrect patient. • Appointment letters were sent to a patient's previous address. 	2
Non Secure Electronic/Paper Disposal		0
Unauthorised Access/Disclosure (Knowingly)		0
Technical Security Failing		0
Inappropriate Storage of Paperwork		0
Failure to maintain Information Standards (Electronic and Paperwork)		0
Non Secure Transfer (Electronic and Paperwork)		0
Not Processed Fairly or for the Specified Purpose		