

File reference	W17FOI105
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Attachments	L3

Freedom of Information Act Disclosure log - Reply Extract

Our introduction

The national framework in place from 1st April 2015 states: 'The terms 'serious incident requiring investigation (SIRI)', 'serious incident (SI)' or 'serious untoward incident (SUI)' are often used interchangeably. This document will refer to 'SIs' and serious incidents'.

Between January and November 2016, we had 110,127 admissions and 444,935 outpatient attendances.

You asked

- 1. The number all Serious Untoward Incidents (SUIs) reported within your NHS trust which occurred during 2016 (Jan-Dec), and the details of what occurred.**

Our tabled response reflects the incident date rather than the date the incident was reported to the Strategic Executive Information System commonly known as STEIS.

Month Incident occurred	Total number of SIRIs (Serious Incident Requiring Investigation)
January 2016	Five or fewer
February 2016	9
March 2016	Five or fewer
April 2016	Five or fewer
May 2016	Five or fewer
June 2016	8
July 2016	6
August 2016	Five or fewer

September 2016	Five or fewer
October 2016	7
November 2016	Five or fewer
December 2016	Five or fewer

STEIS Incident types include:

- Abuse/ alleged abuse of adult patient by staff
- Confidential information leak/ information governance breach
- Diagnostic incident including delay and failure to act on test results
- Major incident/ emergency preparedness, resilience and response/ suspension of services
- Maternity Obstetric incidents inc. Incidents involving baby only, mother and baby and mother only
- Medication incidents
- Pressure Ulcers
- Radiation incident
- Slips/ Trips/ Falls
- Sub-optimal care of the deteriorating patient
- Surgical/ invasive procedures
- Treatment delays
- Absconding incident

2. Of those SUIs, how many resulted in a patient death.

Following review and root cause analysis, five or fewer serious incidents contributed to patient/s death/s.

Legal notes

Plymouth Hospitals NHS Trust is confirming in accordance with section 1 (a) of the Act that it holds the information requested and is supplying it in accordance with section 1(b) unless otherwise specified.

Please find the answers to your questions noting that we have redacted the data set where numbers are five or fewer. This avoids a breach of the first two Data Protection Act principles. This is in accordance with section 40.-(2)(a) and (b) by virtue of section 40.-(3)(a)(i), the personal information exemption as described in the Freedom of Information Act. A detailed rationale is provided in the attached document L3.